

Do not use this checklist for closure coverage. If using a surety bond for closure coverage, use publication #05-04-008(b). Do not use this checklist for post-closure or interim status facilities – contact Ecology’s Financial Assurance Officer for instructions.

**FINANCIAL ASSURANCE COMPLIANCE CHECKLIST
SURETY BOND FOR LIABILITY
FACILITIES USING 40 CFR 264.147(i)**

REVIEW FOR YEAR: _____

BOND PERIOD _____
 DATE SUBMITTED _____
 BOND NUMBER _____
 SURETY COMPANY NAME _____
 FACILITY NAME _____
 LOCATION _____
 ID NUMBER _____

INITIAL REVIEW STEPS	COMMENTS
1. Has the required Surety Bond been submitted? 40 CFR 264.147(i)(1)	
2. Is the Surety qualified? 40 CFR 264.147(i)(2)	
3. Is the Surety Bond wording identical to the requirements? 40 CFR 264.151(l)	
4. Is the amount of the Surety Bond at least \$1 million/\$2 million for sudden accidental occurrences and at least \$3 million/\$6 million for nonsudden accidental occurrences? 40 CFR 264.147(a) and 264.147(b)	
SUBSEQUENT REVIEW STEPS	
5. Has a new/replacement Surety Bond been submitted? 40 CFR 264.147(i)(1)	
6. Does the Surety continue to be qualified? 40 CFR 264.147(i)(2)	
7. Is the Surety Bond wording still identical to the requirements? 40 CFR 264.151(l)	
8. Is the amount of the Surety Bond still at least \$1 million/\$2 million for sudden accidental occurrences and at least \$3 million/\$6 million for nonsudden accidental occurrences? 40 CFR 264.147(a) and 264.147(b)	
9. Any other outstanding issues or concerns?	

MANDATORY LANGUAGE: Located in 40 CFR 264.151(l) and WAC 173-303-620(10). Examples of mandatory language are available from Ecology’s Financial Assurance Officer at (360) 407-6754.

CONCLUSION: The Department of Ecology determines that the facility has *(circle one)* **COMPLIED / NOT COMPLIED** in all material respects with the requirements governing financial assurance as described in 40 CFR Part 264. Reasons for noncompliance, if any, are detailed in an attached memo.

Department of Ecology Review by: _____ Date: _____

If you need this information in an alternate format, please call the Hazardous Waste and Toxics Reduction Program at 360-407-6700. If you are a person with a speech or hearing impairment, call 711, or 800-833-6388 for TTY.